

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of: **KURAMATA, et al.**

Serial No.: 09/313,764

Filed: May 18, 1999

FOR: **OPTICAL SEMICONDUCTOR DEVICE HAVING AN EPITAXIAL LAYER OF  
III-V COMPOUND SEMICONDUCTOR MATERIAL CONTAINING N AS A  
GROUP V ELEMENT**

P.T.O. Confirmation No.: 4289

**REQUEST FOR WITHDRAWAL OF HOLDING OF ABANDONMENT**

Commissioner for Patents  
Washington, D.C. 20231

Date: February 5, 2003

Sir:

Applicants respectfully request the Commissioner to withdraw the holding of abandonment set forth in the Notice of Abandonment mailed January 27, 2003.

The Notice of Abandonment indicates that an Office Action was mailed May 21, 2002. Applicants' representatives did not receive a copy of the Office letter. Thus, a response would have been due August 21, 2002. As can be seen from the attached docket for August 21, 2002, no due date for this application appears.

In addition, the attached copy of our PTO log book for the period from May 20, 2002 to May 31, 2002, shows that the Office Action was not received.

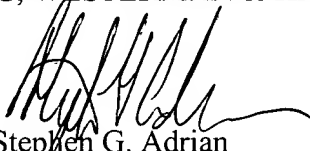
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APR 02 2003  
OFFICE OF THE SPECIAL  
PROGRAMS EXAMINER

2823  
FEB 10 2003  
TECHNOLOGY CENTER 2800  
Coleman  
2/3/03  
PC/Monroe

Since applicants did not receive the Office letter, it is believed that a petition and fee are not required. However, if a fee is considered to be required in connection with the present petition, please charge such fee to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Stephen G. Adrian  
Attorney for Applicants  
Reg. No. 32,878

SGA/arf

Attachments: Copy: Notice of Abandonment mailed January 27, 2003  
Computer Docket  
Our PTO Log Book

Atty. Docket No. **990527**  
Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



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PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

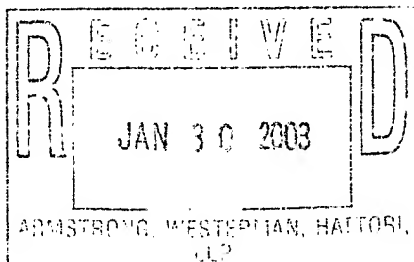
564

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/313,764	05/18/1999	AKITO KURAMATA	990527	4289

23850 7590 01/27/2003

ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW  
SUITE 1000  
WASHINGTON, DC 20006



EXAMINER

COLEMAN, WILLIAM D

ART UNIT PAPER NUMBER

2823

DATE MAILED: 01/27/2003

*petition Callup  
February 27, 2003*

Please find below and/or attached an Office communication concerning this application or proceeding.

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FILED	<i>Jw W</i>
	<i>1-30-03</i>

**Notice of Abandonment**

FEB 05 2003

Application No.

09/313,764

Examiner

W. David Coleman

Applicant(s)

KURAMATA ET AL.

Art Unit

2823

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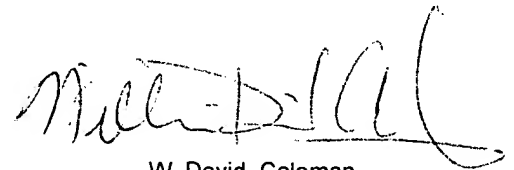
FEB 10 2003

TECHNOLOGY CENTER 2800

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

This application is abandoned in view of:

1. ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on 21 May 2002.
  - (a) ☐ A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
  - (b) ☐ A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.  
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
  - (c) ☐ A reply was received on \_\_\_\_\_ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
  - (d) ☒ No reply has been received.
2. ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
  - (a) ☐ The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
  - (b) ☐ The submitted fee of \$\_\_\_\_\_ is insufficient. A balance of \$\_\_\_\_\_ is due.  
The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_\_.
  - (c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
  - (a) ☐ Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply.
  - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☐ The reason(s) below:



W. David Coleman  
Examiner  
Art Unit: 2823

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

# Interview Summary

Application No.

09/313,764

Applicant(s)

KURAMATA ET AL.

Examiner

W. David Coleman

Art Unit

2823 FEB 10 2003

TECHNOLOGY CENTER 2800

All participants (applicant, applicant's representative, PTO personnel):

(1) W. David Coleman.

(3) \_\_\_\_\_

(2) Stephen G. Adrian 32,878.

(4) \_\_\_\_\_

Date of Interview: 22 January 2003.Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☒ applicant's representative]Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1-24.Identification of prior art discussed: None.Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The Examiner of Record called Applicants representative to inquire as to the status of the present case. Applicants representative indicated that he has not received an Office Action which was mailed on May 21, 2002. At the present time the case is in the status as being abandoned.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☐ It is not necessary for applicant to provide a separate record of the substance of the interview(if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required



## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent and Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check the appropriate box at the bottom of the Form which informs the applicant that the submission of a separate record of the substance of the interview as a supplement to the Form is not required.

It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

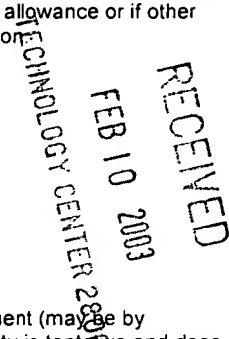
A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.



Wednesday, August 21, 2002

## Due Date List By Date

Page: 1

From 21-Aug-2002 To 21-Aug-2002



Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	SEND LTR TO CLT	13-Aug-2002 ADVISORY ACTION	000935	3354	US SK A	MATSUKAWA PATENT OFFICE	630,657/09	01-Aug-2000
Status: Pending Title: LITHIUM BATTERY								
21-Aug-2002 Due Date	SEND LTR TO CLT	08-Aug-2002 ADVISORY ACTION	001035	3596	US TEB FM	KOBAYASI PATENT & TRADEMARK	642,883/09	22-Aug-2000
Status: Pending Title: PLANE DIFFRACTION GRATING BASED ON SURFACE NORMAL ROTATION AND ITS APPLICATION TO AN OPTICAL SYSTEM								
21-Aug-2002 Due Date	FINAL OA - 3 MO RESPONSE	21-May-2002 US-FINAL 3 MONTH	001271	3222	US TEB H	WATANABE & HOTTA	647,169/09	27-Sep-2000
Status: Pending Title: CONTROLLED MAGNETIC BEARING APPARATUS								
21-Aug-2002 Due Date	APPEAL BRIEF DUE	21-Jun-2002 US APPEAL BRIEF	001308	3271	US SGA N	SHIMIZU & ASSOCIATES (TOKYO)	678,484/09	04-Oct-2000
Status: Pending Title: OPENABLE SEALED CONTAINER								
21-Aug-2002 Due Date	FINAL OA - 3 MO RESPONSE	21-May-2002 US-FINAL 3 MONTH	001417	0624	US NSB H	YUASA AND HARA	693,847/09	23-Oct-2000
Status: Pending Title: PLATE HEAT EXCHANGER								

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Wednesday, August 21, 2002

# Due Date List By Date

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From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	DRWGS 1 MO CALL- UP	20-Jun-2002 US-ALLOWABILITY	001493	3504 RAYTEC CO., LTD	US	NSB H	726,383/09	01-Dec-2000
Status: Published Title: CAPACITIVE DISTANCE SENSOR FOR SURFACE CONFIGURATION DETERMINING APPARATUS Remarks: DUE 9-20-02								
21-Aug-2002 Due Date	3 MO RESPONSE	21-May-2002 US-3 MO ACTION	001548	1461 OISHI PATENT OFFICE	US	SGA A	716,206/09	21-Nov-2000
Status: Pending Title: PROCESS FOR PRODUCING COATED FUNCTIONAL ARTICLES								
21-Aug-2002 Due Date	ISSUE FEE CALL-UP	28-May-2002 US ALLOWANCE	010035	3761 FUJIMOTO PATENT OFFICE	US	JFC A	765,435/09	22-Jan-2001
Status: Published Title: DEVELOPMENT DEVICE AND DEVELOPMENT METHOD, AND IMAGE FORMING DEVICE Remarks: DUE 8-28-02								
21-Aug-2002 Due Date	PUBLN FEE CALL-UP	28-May-2002 US ALLOWANCE	010035	3761 FUJIMOTO PATENT OFFICE	US	JFC A	765,435/09	22-Jan-2001
Status: Published Title: DEVELOPMENT DEVICE AND DEVELOPMENT METHOD, AND IMAGE FORMING DEVICE Remarks: DUE 8-28-02								
21-Aug-2002 Due Date	DRWGS 1 WK CALL- UP	28-May-2002 US-ALLOWABILITY	010035	3761 FUJIMOTO PATENT OFFICE	US	JFC A	765,435/09	22-Jan-2001
Status: Published Title: DEVELOPMENT DEVICE AND DEVELOPMENT METHOD, AND IMAGE FORMING DEVICE Remarks: DUE 8-28-02								

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8/21/02

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1/22/03

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## Due Date List By Date

From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	DRWGS 1 WK CALL- UP	28-May-2002 US ALLOWANCE	010212	0353	US	KHS A ITOHI INT'L PATENT OFFICE	793,696/09	27-Feb-2001
Status: Pending Title: PHOTODETECTOR HAVING A MIXED CRYSTAL LAYER OF SiGeC Remarks: DRWGS FILED? WHEN? ISSUE FEE PAID 8-15-02								
21-Aug-2002 Due Date	ISSUE FEE CALL-UP	28-May-2002 US ALLOWANCE	010254	0186	US	WLB A YAMAMOTO PATENT & ENGINEERING	816,400/09	26-Mar-2001
Status: Published Title: ISOLATOR DEVICE WITH BUILT-IN POWER AMPLIFIER Remarks: DUE 5-28-02								
21-Aug-2002 Due Date	PUBLN FEE CALL-UP	28-May-2002 US ALLOWANCE	010254	0186	US	WLB A YAMAMOTO PATENT & ENGINEERING	816,400/09	26-Mar-2001
Status: Published Title: ISOLATOR DEVICE WITH BUILT-IN POWER AMPLIFIER Remarks: DUE 5-28-02								
21-Aug-2002 Due Date	Information Disclosure Stmnt	21-Aug-2001 Information Disclosure Stmtnt	010621	3388	US	MS A TACHIBANA INT'L PATENT OFFICE	860,448/09	21-May-2001
Status: Published Title: VOICE SEARCHING SYSTEM OF INTERNET INFORMATION TO BE USED FOR CELLULAR PHONE Remarks: MRQ								
21-Aug-2002 Due Date	REQUEST RECONS CALL-UP	22-Apr-2002 REQUEST RECONSIDERATION	010651	3761	US	MS H FUJIMOTO PATENT OFFICE	985,623/09	05-Nov-2001
Status: Pending Title: TRANSPARENT RECORDABLE MEDIUM, IMAGE FORMING DEVICE, AND RECORDABLE MEDIUM TYPE IDENTIFICATION DEVICE Remarks: MRQ; PETITION DISMISSED PER PTO 4-22-02; HAVE WE RECD DECLARATION?								

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20 May

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Wednesday, August 21, 2002

# Due Date List By Date

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From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	Information Disclosure Stmnt	03-Apr-2002 Information Disclosure Stmt	011577	3701 MURAKAMI PATENT OFFICE	US	MS H	034,097/10	03-Jan-2002
	Status: Published							
	Remarks: WFW							
21-Aug-2002 Due Date	Foreign Filing Reminder	21-Feb-2002 Foreign Filing	011763	3235 ZEON CHEMICALS, L.P.	US	DAG N	078,470/10	21-Feb-2002
	Status: Pending							
	Remarks: APPLN FILED 2-21-02 - NO PRIORITY INFO; FOREIGN FILING DUE 2-21-03							
21-Aug-2002 Due Date	Information Disclosure Stmnt	21-May-2002 Information Disclosure Stmt	020126	4283 FUJITSU TECHNO RESEARCH LTD.	US	MS A	078,450/10	21-Feb-2002
	Status: Pending							
	Remarks: MRQ							
21-Aug-2002 Due Date	Information Disclosure Stmnt	13-May-2002 Information Disclosure Stmt	020170	3386 NISHIOKA & CO. (OSAKA)	US	CS A	071,131/10	11-Feb-2002
	Status: Pending							
	Remarks: DWH							
21-Aug-2002 Due Date	Information Disclosure Stmnt	28-May-2002 Information Disclosure Stmt	020254	3806 KOKUBUN INT'L PATENTS & TMS	US	MS A	083,684/10	27-Feb-2002
	Status: Pending							
	Remarks: DWH							

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8/26/02 P 16

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## Due Date List By Date

From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	Information Disclosure Strmnt	03-Jul-2002 Information Disclosure Strmnt	020355	7880 FUJIWARA PATENT OFFICE	US	CS A	114,283/10	03-Apr-2002
Status: Pending Remarks: SGA Title: VISCOSITY IMPROVER AND LUBE OIL-CONTAINING THE SAME								
21-Aug-2002 Due Date	Information Disclosure Strmnt	03-Jul-2002 Information Disclosure Strmnt	020475	4383 FUJITSU TECHNO RESEARCH LTD.	US	MS A	114,452/10	03-Apr-2002
Status: Pending Remarks: DWH Title: METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE								
21-Aug-2002 Due Date	Information Disclosure Strmnt	24-Jul-2002 Information Disclosure Strmnt	020563	0328 OY JALO ANT-WUORINEN AB...	US	MS A	128,571/10	24-Apr-2002
Status: Pending Remarks: SGA Title: INSULATOR ELECTRODE DEVICES								
21-Aug-2002 Due Date	Information Disclosure Strmnt	14-Aug-2002 Information Disclosure Strmnt	020646	3132 KYOWEY INT'L	US	CS A	143,940/10	14-May-2002
Status: Pending Remarks: DWH Title: DRUM CLEANER WITH SHOCK ABSORBER								
21-Aug-2002 Due Date	Information Disclosure Strmnt	11-Jul-2002 Information Disclosure Strmnt	020651	3344 TAKEUCHI INT'L PATENT OFFICE	US	MS A	150,503/10	20-May-2002
Status: Pending Remarks: WLB Title: INK JET PRINTER								

ext-8-22-02

## Due Date List By Date

From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	Information Disclosure Stmt	21-May-2002 Information Disclosure Stmt	020678	0013 KISHIMOTO & CO.	US	MS A	151,312/10	21-May-2002
Status: Pending Remarks: DWH Title: FLUID CONTROL APPARATUS <i>1 week</i>								
21-Aug-2002 Due Date	NEW PLANT APPLN CUP	12-May-1999 NEW PLANT APPLN	020805	3285 HIRAKI AND ASSOCIATES	US	KH NES	(YP) <i>cluded</i>	
Status: Unfiled Remarks: NES (CROSS REF. 000557) Title: SENPOU (ANGELICA KEISKAIE KOIDZUMI)								
21-Aug-2002 Due Date	NEW APPLICATION	12-Jul-2002 NEW APPLICATION	020922	1195 SAITOH, YUKIHIKO	US	DWH A	YP	
Status: Unfiled Remarks: DWH SENT REWRITE 7-19-02; HAVE WE RECD APPLN DOCMTS? DKTG NEEDS INFO Title: LOW CONTAMINATIVE HOSE AND RUBBER COMPOSITION FOR USE IN MAKING THE SAME								
21-Aug-2002 Due Date	NEW APPLICATION	22-Aug-2001 NEW APPLICATION	020976	3453 WILLFORT INTERNATIONAL	US	KH H	YP	
Status: Unfiled Remarks: KH; APPLN DEADLINE 8-22-02 Title: DATABASE MANAGEMENT SYSTEM AND DATABASE								
21-Aug-2002 Due Date	REWRITE DUE	03-Sep-2001 NEW APPLICATION	021010	1139 OCHIAI & CO.	US	WLB A	YP	
Status: Unfiled Remarks: WLB TO DO REWRITE: DUE 8-28-02; APPLN DEADLINE 9-3-02 Title: EXHAUST GAS VALVE DEVICE IN INTERNAL COMBUSTION ENGINE								

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*we ext 8-27-02*

*(MS) F. 8/21/02*

*WLB*

*MS*

*ext 8-20-02*

## Due Date List By Date

From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	NEW PCT NAT'L PHASE	21-Mar-2000 NEW PCT NAT'L (30 MO)	021013	1670	US	JEA A YP		Get to 8/27/02 you.
	Status: Unfiled							
	Remarks: JEA; 30 MO DEADLINE 9-21-02							
21-Aug-2002 Due Date	NEW PROVISIONAL APPLN	06-Aug-2002 NEW PROVISIONAL APPLN	021024	7907	US	DWH		2 weeks
	Status: Unfiled							
	Remarks: DWH							
21-Aug-2002 Due Date	NEW PROVISIONAL APPLN	06-Aug-2002 NEW PROVISIONAL APPLN	021027	7907	US	DWH		2 weeks
	Status: Unfiled							
	Remarks: DWH							
21-Aug-2002 Due Date	NEW PROVISIONAL APPLN	06-Aug-2002 NEW PROVISIONAL APPLN	021028	7907	US	DWH		2 weeks
	Status: Unfiled							
	Remarks: DWH							
21-Aug-2002 Due Date	NEW PROVISIONAL APPLN	13-Aug-2002 NEW PROVISIONAL APPLN	021065	7907	US	DWH		2 weeks
	Status: Unfiled							
	Remarks: DWH							

Title: SEMICONDUCTOR SUBSTRATE CLEANING AGENT AND CLEANING METHOD

Title: TRIGGER DEVICE

Title: TOUCH AND THROW DEVICE

Title: BAT DIRECTOR DEVICE

Title: BATTING DEVICE



## Due Date List By Date

From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	DRWGS 3 WK CALL- UP	17-Jun-2002 US-ALLOWABILITY	970951	0341 YAMADA PATENT OFFICE	US	TEB A	917,749/08	27-Aug-1997
Status: Published Remarks: DUE 9-17-02 Title: CODE MULTIPLEXING/READING APPARATUS								
21-Aug-2002 Due Date	3 MO RESPONSE & IDS	21-May-2002 US-3 MO ACTION	981232	0341 YAMADA PATENT OFFICE	US	WLB A	166,911/09	06-Oct-1998
Status: PENDING Title: DIGITAL CAMERA								
21-Aug-2002 Due Date	3 MO RESPONSE	21-May-2002 US-3 MO ACTION	981498	0280 AZUSA PATENT OFFICE	US	DWH H	217,200/09	22-Dec-1998
Status: PENDING Title: AIR-CLEANING APPARATUS AND AIR-CLEANING METHOD								
21-Aug-2002 Due Date	PUBLN FEE CALL-UP	28-May-2002 US ALLOWANCE	990225	0021 A. AOKI, ISHIDA & ASSOCIATES	US	SGA A	288,781/09	09-Apr-1999
Status: Published Remarks: DUE 8-28-02 Title: RESIST COMPOSITION AND PATTERN FORMING PROCESS								
21-Aug-2002 Due Date	ISSUE FEE CALL-UP	28-May-2002 US ALLOWANCE	990225	0021 A. AOKI, ISHIDA & ASSOCIATES	US	SGA A	288,781/09	09-Apr-1999
Status: Published Remarks: DUE 8-28-02 Title: RESIST COMPOSITION AND PATTERN FORMING PROCESS								

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8-21-02







From 21-Aug-2002 To 21-Aug-2002

Due Date/ Date Type	Reason for Date	Action Base Date/ Action Type	Case Number	Client	Country	Attorney(s)	Application Number	Filing Date
21-Aug-2002 Due Date	ANSWER TO OPPOSITION C/UP	24-Jul-2002 ANSWER DUE	0001911	5319	US	DWH A OKADA & COMPANY (OSAKA)	OPP. 125,348	8/27
	Status: Unfiled					Trademark: OPPOSITION RE: DOLLFUS v. DMC		
	Remarks: (3RD) 45 DAY EOT FILED 7-22-02; ANSWER TO OPPOSITION NOW DUE *9-7-02*							
21-Aug-2002 Due Date	3 WK CALL-UP	13-Mar-2002 PRIORITY ACTION	000709	7858	US	NES CABINET PLASSERAUD	167,593/76	16-Nov-2000
	Status: Pending					Trademark: COLOR CHART, COLOR (DESIGN)		
	Remarks: RESPONSE DEADLINE 9-13-02							05/10 ya
21-Aug-2002 Due Date	SUSPENSION CALL- UP	21-Jan-2002 SUSPENSION	010097	5319	US	MSA DH OKADA & COMPANY (OSAKA)	221,046/76	08-Mar-2001
	Status: Pending					Trademark: VISIONMEGANE.COM		1 month
21-Aug-2002 Due Date	RESPONSE CALL-UP	26-Feb-2002 FINAL REJECTION	010512	3736	US	KH H TAKENAKA PATENT OFFICE	241,393/76	17-Apr-2001
	Status: Pending					Trademark: RUNNER BREAKER		Due date
	Remarks: RESPONSE DUE 8-26-02							





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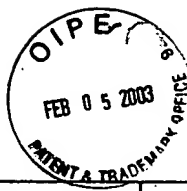
May 28, 2002 RECEIVED

File No.	Serial No.	Atty	Description	FEB 10 2003	NF	Mail Date
001634	09/719,965	CS	1 <sup>st</sup> OA	TECHNOLOGY CENTER 2800		5-22-02
010333	09/815,012	KHS	2 <sup>nd</sup> OA-F			5-22-02
090911	09/375,521	MS	1 <sup>st</sup> OA			5-23-02
010629	09/855,515	WLB	2 <sup>nd</sup> OA-F			5-22-02
010224	09/800,514	MS	1 <sup>st</sup> OA			5-23-02
010270	09/814,099	MS	" "			5-22-02
020103	09/229,892	WLB/AM	" "			5-23-02
010498	09/832,344	SGA	" "			5-22-02
990932	09/376,482	JLF	2 <sup>nd</sup> OA-F			5-23-02
990507	09/313,784	MS	1 <sup>st</sup> OA			5-22-02
010216	09/805,180	MS	" "			5-24-02
990135A	09/989,186	DAG	" "			5-24-02
000113A	09/960,956	SGA	2 <sup>nd</sup> OA-F			5-24-02
981259	09/195,701	JFC	1 <sup>st</sup> OA			5-24-02
981113A	10/040,434	DAG	" "		AF	5-21-02
010025	09/760,601	MS	" "			5-23-02
011405	09/926,662	MRC	" "			5-24-02
000582	09/562,189	DAG	2 <sup>nd</sup> OA-F			5-23-02
990900	09/397,504	JFC	4 <sup>th</sup> OA			5-23-02
991172	09/436,496	MS	2 <sup>nd</sup> OA-F			5-22-02
001125	09/655,280	WLB	Supplm. NOA - Prty Achmt.			5-23-02
990061	09/236,091	SGA	Prty Achmt.			5-24-02
011196	09/955,337	WLB	Supplm NOA - 1449			5-24-02
930908	08/174,686	WLB	Not. Abandmt.			5-23-02
010780	09/881,783	WLB	Prty Achmt.			5-22-02
971268	08/952,222	JFC	Re: Con'n of Assignee		AF	5-22-02
011707	09/926,771	CS/SGA	Re: 35 USC 371 date: 12/14/01			5-23-02
010141	09/783,994	MS	Quayle Action			5-23-02
011035	09/940,456	MS	" "			5-23-02
010898	09/901,572	CS	Amino Acid Sequence (2mo.) 4818		AF	5-22-02
020312	10/088,094	MS	NOA 7145		AF	5-22-02
020284	10/069,956	CS	Int'l FR 3/7/02 & FR 4541		AF	5-21-02
001631	09/743,468	DAG	NOA			5-22-02
991486A	09/669,576	SGA	" & Supp NOAII.			5-23-02
000312	09/526,721	WLB	"			5-22-02
000147	09/506,075	TGB	"		AF	5-24-02



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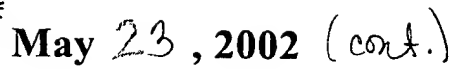




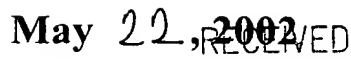
May 23, 2002

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File No.	Serial No.	Atty	Description	NF	Mail Date
980920	09/271,980	JPK	2 <sup>nd</sup> OA TECHNOLOGY CENTER 2800		5-21-02
011023	09/930,151	MS	1 <sup>st</sup> OA		5-20-02
991040	09/393,687	NSB	2 <sup>nd</sup> OA-F		5-21-02
001417	09/693,847	NSB	" " "	NF	5-21-02
001470	09/715,093	MRQ	NOA		5-21-02
000432	09/576,230	NSB	"		5-21-02
020508	10/120,544	CS	Notice to Comply Sequence Listing	NF	5-20-02
001537	09/715,094	MRQ	NOA		5-20-02
990340	09/274,764	NES	" e DRUGS		5-20-02
001735	09/748,014	WUB	" e Publn Fee		5-21-02
000963	09/635,918	WUB	" e DRUGS		5-20-02
991128B	10/053,890	SCA	Rest. Reqt. (mo.)		5-21-02
010323	09/804,222	MS	" " e Election (mo.)		5-20-02
010041	09/775,552	MS	Election (mo.)		5-20-02
000364	09/531,891	NES	Adv. Action		5-21-02
001690	09/742,280	MS	Rest. Reqt. (mo.)		5-21-02
000782	09/606,009	MS	Status: Expect OA: > 15mo	NF	5-20-02
990245	09/147,884	MS	" " " : 3mo	NF	5-20-02
001112	09/650,637	MS	" " " : > 15mo	NF	5-20-02
990550	09/317,433	MS	" " " : 4mo	NF	5-20-02
971161A	09/455,000	MS	" " " : 9mo	NF	5-20-02
011371	76/240,238	MSA	Not. of Suspension	NF	5-20-02
020210	76/374,654	MSA	Rejection	NF	5-20-02
980676	09/084,097	SCA	NOA e Publn Fee / PTA		5-21-02
010550	09/835,628	TGB	" "		5-21-02
001513	09/729,688	NSB	" " e DRUGS		5-20-02
010862	09/897,047	WUB	" "		5-21-02
000030	09/536,715	MRQ	"		5-21-02
981172	09/161,440	NWL	" e Publn Fee		5-21-02
010539	09/847,377	WCU	" "		5-20-02
991378	09/453,278	KHS	"		5-20-02
010557	09/843,867	WCU	" e Publn Fee		5-20-02
001507	09/770,386	WUB	" " / PTA		5-20-02
010130	09/762,804	NSB	"		5-21-02
011627	10/007,699	WUB	" e Publn Fee	NF	5-20-02
001772	09/756,651	SCA	" "	NF	5-21-02



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File No.	Serial No.	Atty	Description	FFB 10 2003	NF	Mail Date
000479	09/631,834	WUB	2 <sup>nd</sup> OA-F	TECHNOLOGY CENTER 2800		5-16-02
010221	09/794,398	MUL	2 <sup>nd</sup> OA			5-8-02
010995	09/921,778	MS	1 <sup>st</sup> OA			5-16-02
960247A	09/166,141	DAG	2 <sup>nd</sup> OA-F			5-15-02
990681	09/338,801	TEB	1 <sup>st</sup> OA			5-16-02
991036	09/380,777	CS	" "			5-16-02
010574	09/843,912	MS	" "			5-16-02
010197	09/791,860	CS	" "			5-16-02
991444	09/492,373	SK	" "			5-16-02
001567	09/725,140	WUB	2 <sup>nd</sup> OA			5-17-02
001607	09/731,755	TEB	2 <sup>nd</sup> OA-F			5-15-02
010839	09/895,327	MS	1 <sup>st</sup> OA			5-15-02
010417	09/817,365	MS	" "			5-13-02
011189	09/955,199	MS	" "			5-16-02
010335PT	DCT 4602/06639	NES	Out. of transmittal of the Int'l search Report.		NF	—
990813A	6,272,635	JPK	COC		NF	—
001710	09/756,294	SGA	Status Reply letter expect OA : 7/02			—
000703	09/584,850	SGA	Petition to Re-ex - Granted. New NOA to be mailed		NF	4-16-02
960569A	09/227,528	WUB	Re : 1449		NF	5-16-02
020245	10/069,532	MS	Int'l FR 3/6/02 & FR 4586		NF	5-14-02
020227	10/069,955	MS	" " 3/7/02 & FR 4531		NF	5-13-02
011783	10/019,318	CS	" " 4/24/02 & " 7474		NF	5-15-02
020498	10/120,212	MS	NO MP & FR 4694		NF	5-17-02
020329	10/122,145	CS	" " 3691		NF	5-17-02
001649	09/735,552	JPK	NOA & Publn Fee, PTA		NF	5-16-02
011196	09/955,337	WUB	" " —		NF	5-16-02
001067	09/657,066	NES	" —			5-17-02
001364	09/716,331	WUB	" & PTA			5-16-02
010731	09/875,176	DAG	" & Publn Fee			5-16-02
000995	09/639,772	JPK	" —			5-16-02
941065A	09/270,843	WUB	" & DRUGS			5-17-02
000277	09/529,003	SK	" & "			5-16-02
000265	09/521,666	MKG	" & DRUGS			5-16-02
011589	09/994,753	MS	Rest. Report. (mo.)			5-16-02
010063	09/769,318	SGA	Election			5-16-02
001666	09/756,905	DWH	Advisory Action			5-17-02

